



SEP 19 2005

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/939,235  
 Filing Date: August 24, 2001  
 First Named Inventor: William J. Armstrong  
 Art Unit: 2195  
 Examiner Name: Kenneth Tang  
 Attorney Docket Number.: ROC920010252US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
KT		US - 4,400,769	08/23/1983	Kaneda et al.	
KT		US - 4,742,447	05/03/1988	Duvall et al.	
KT		US - 5,187,802 A	02/16/1993	Inoue et al.	
KT		US - 5,361,375 A	11/01/1994	Ogi, Yoshifumi	
KT		US - 5,694,606 A	12/02/1997	Pletcher et al.	
KT		US - 5,898,855 A	04/27/1999	Onodera et al.	
KT		US - Re. 36,462 E	12/21/1999	Chang et al.	
KT		US - 6,078,970 A	06/20/2000	Nordstrom et al.	
KT		US - 6,279,046 B1	08/21/2001	Armstrong et al.	
KT		US - 6,381,742 B2	04/30/2002	Forbes et al.	
KT		US - 6,487,654 B2	11/26/2002	Dowling, Eric M.	

**FOREIGN PATENT DOCUMENTS**

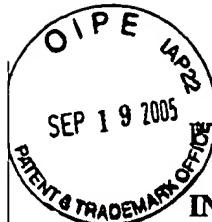
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature	<i>Kenneth Tang</i>	Date Considered	<u>11/30/05</u>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.



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KT		US - 6,615,303 B1	09/02/2003	Endo et al.	
KT		US - 6,711,605 B2	03/23/2004	Sekiguchi et al.	
KT		US - 6,760,783 B1	07/06/2004	Berry, Frank L.	
KT		US - 6,779,065 B2	08/17/2004	Murty et al.	
KT		US2002/0056076 A1	05/09/2002	Made, Peter A.J. van der	
KT		US2003/0101440 A1	05/29/2003	Hardin et al.	

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS  
INFORMATION  
DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920010252US1SERIAL NO.  
09/939,235APPLICANT:  
William J. Armstrong et al.FILING DATE:  
August 24, 2001GROUP:  
2121

RECEIVED  
OCT 17 2001  
Group 2100

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
BA						
BB						
BC						
BD						
BE						
BF						
BG						
BH						
BI						
BJ						
BK						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
BL							
BM							
BN							
BO							
BP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

KT	BR	IBM Corporation, S/390 Processor Resource/Systems Manager Planning Guide (IBM Pub. No. GA22-7236-04, 5 <sup>th</sup> Edition,
		March 1999)
BS		
BT		

EXAMINER

*Kenneth Say*

DATE CONSIDERED

11/30/05

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Sheet 1 of 2

DEC 07 2004

Application Number.: 09/939,235  
Filing Date: August 24, 2001  
First Named Inventor: Armstrong  
Art Unit: 2127  
Examiner Name: Kenneth Tang  
Attorney Docket Number.: ROC920010252US1

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

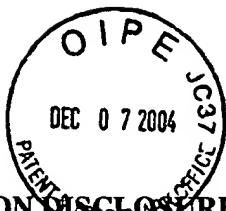
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## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature	<i>Kenneth J. Jay</i>	Date Considered	11/30/05
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